

**UTILITY**  
**PATENT APPLICATION**  
**TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 130-022

First Inventor Warnakulasooriya

Title Arbitration Mechanism for A Dual Serial Bus Architecture

Express Mail Label No. EV329724406US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Application for Patent [Total Pages 19]

16 pp. Specification  
2 pp - Claim(s) 1-7  
1 pg. - Abstract of the Disclosure

**ADDRESS TO:** Commissioner for Patents  
P.O. Box 1450

Alexandria, VA 22313-1450

22387 U.S. PTO  
10/771811

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a.  Computer Readable Form (CRF)

b. Specification Sequence Listing on:

i.  CD-ROM or CD-R (2 copies); or

ii.  paper

c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 8]

5.  Oath or Declaration [Total Sheets 4]

a.  Newly executed (original or copy)

b.  Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed)

i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

9.  Assignment Papers

10.  37 CFR 3.73(b) Statement (when there is an assignee)  Power of Attorney

11.  English Translation Document (if applicable)

12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)

16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17.  Other: .....Preliminary Amendment

18 If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: 10/608,635

Prior application information: Examiner not yet known Group Art Unit: 2835

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label **34845** or  Correspondence address below  
(Insert Customer No. or Attach bar code label here)

Name	Steubing McGuinness & Manaras LLP			
Address	125 Nagog Park Drive			
	City	Acton	State	MA
Country	USA	Telephone	978-264-6664	Fax

Name (Print/Type)	Lindsay McGuinness	Registration No. (Attorney/Agent)	38,549
Signature	<u>Lindsay McGuinness</u>		Date <u>2/4/2004</u>

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

 Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 810.00)

## Complete if Known

Application Number	
Filing Date	Herewith
First Named Inventor	Warnakulasooriya
Examiner Name	
Art Unit	
Attorney Docket No.	130-022

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)			
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account Deposit Account Number 502569 Deposit Account Name Steubing McGuinness & Manares LLP				<b>3. ADDITIONAL FEES</b> Large Entity      Small Entity Fee Code (\$)      Fee Code (\$)      Fee Description 1051 130 2051 65 Surcharge – late filing fee or oath 1052 50 2052 25 Surcharge – late provisional filing fee or cover sheet 1053 130 1053 130 Non-English specification 1812 2,520 1812 2,520 For filing a request for ex parte reexamination 1804 920* 1804 920* Requesting publication of SIR prior to Examiner action 1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action 1251 110 2251 55 Extension for reply within first month 1252 410 2252 205 Extension for reply within second month, minus 1 <sup>st</sup> month already paid 1253 940 2253 470 Extension for reply within third month 1254 1,470 2254 735 Extension for reply within fourth month 1255 2,000 2255 1,000 Extension for reply within fifth month 1401 320 2401 165 Notice of Appeal 1402 320 2402 165 Filing a brief in support of an appeal 1403 290 2403 145 Request for oral hearing 1451 1,510 1451 1,510 Petition to institute a public use proceeding 1452 110 2452 55 Petition to revive – unavoidable 1453 1,310 2453 655 Petition to revive – unintentional 1501 1,310 2501 655 Utility issue fee (or reissue) 1502 470 2502 235 Design issue fee 1503 630 2503 315 Plant issue fee 1460 130 1480 130 Petitions to the Commissioner 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) 1806 180 1806 180 Submission of Information Disclosure Stmt 8021 40 8021 40 Recording each patent assignment per property (times number of properties) 40.00 1809 760 2809 380 Filing a submission after final rejection (37 CFR § 1.129(a)) 1810 760 2810 380 For each additional invention to be examined (37 CFR § 1.129(b)) 1801 760 2801 380 Request for Continued Examination (RCE) 1802 900 1802 900 Request for expedited examination of a design application			
The Commissioner is authorized to: (check all that apply) <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.							
FEE CALCULATION							
1. BASIC FILING FEE							
Large Entity		Small Entity					
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		Fee Paid	
1001	770	2001	380	Utility filing fee		770.00	
1002	340	2002	170	Design filing fee			
1003	520	2003	260	Plant filing fee			
1004	760	2004	380	Reissue filing fee			
1005	160	2005	80	Provisional filing fee			
				SUBTOTAL (1)		(\$ 770.00)	
2. EXTRA CLAIM FEES							
Total Claims 7 **=		Extra Claims X 18.00		Fee from below		Fee Paid	
Independent Claims 2							
Multiple Dependent							
Large Entity		Small Entity					
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description			
1202	18	2202	9	Claims in excess of 20			
1201	84	2201	43	Independent claims in excess of 3			
1203	290	2203	145	Multiple dependent claim, if not paid			
1204	86	2204	43	**Reissue independent claims over original patent			
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent			
				SUBTOTAL (2)		(\$ 770.00)	
Other fee (specify)							
*Reduced by Basic Filing Fee Paid							
SUBTOTAL (3) (\$ 40.00)							

SUBMITTED BY						Complete if applicable	
Name (Print/Type)	Lindsay G. McGuinness		Registration No. (Attorney/Agent)	38,549		Telephone	978-264-6664
Signature						Date	2/14/2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

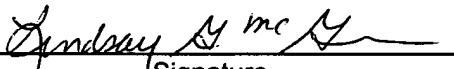
First Named Inventor	Warnakulasooriya
Title	Highly Available Dual Serial Bus Architecture
Atty Docket Number	130-022 EMC-03-60

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

2/4/2001

Date



Signature

Lindsay G. McGuinness, Reg. No. 38,549

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**